



Attorney's Docket No. 9158-2IP

  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Breen et al.

Serial No.: 10/601,619

Confirmation No. 8826

Filed: June 23, 2003

Group Art Unit: 3625

For: SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR  
CONDUCTING REGULATION-COMPLIANT COMMERCIAL TRANSACTIONS  
OF REGULATED GOODS VIA A COMPUTER NETWORK

Date: November 5, 2003

MAIL STOP - MISSING PARTS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

SUBMITTAL OF DECLARATION  
UNDER 37 C.F.R. § 1.63

Sir:

In response to the Notice to File Missing Parts dated September 12, 2003, enclosed is a Declaration and Power of Attorney for the above-identified application which has been executed by the named inventors.

A check in the amount of \$65.00 is also enclosed to cover the surcharge under 37 C.F.R. § 1.16(e). Any additional fee or credit may be charged to our Deposit Account No. 50-0220.

Respectfully submitted,

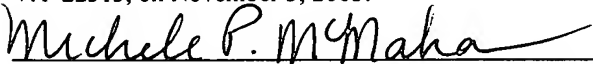


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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop - Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313, on November 5, 2003.



Michele P. McMahan Date of Signature: November 5, 2003



**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**  
Attorney Docket No. 9158-2IP

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR  
CONDUCTING REGULATION-COMPLIANT COMMERCIAL TRANSACTIONS  
OF REGULATED GOODS VIA A COMPUTER NETWORK**

the specification of which

☐ is attached hereto

OR

☒ was filed on June 23, 2003 as United States Application No. 10/601,619 (I hereby authorize Myers Bigel Sibley & Sajovec to insert number when known) PCT

International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

NONE			<input type="checkbox"/> Yes <input type="checkbox"/> No
Number	Country	MM/DD/YYYY Filed	Priority Claimed
			<input type="checkbox"/> Yes <input type="checkbox"/> No
Number	Country	MM/DD/YYYY Filed	Priority Claimed

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

None	
Application Number(s)	Filing Date (MM/DD/YYYY)
Application Number(s)	Filing Date (MM/DD/YYYY)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or § 365(c) of any PCT international application designating the United States of America, listed below.

09/440,778	11/16/99	Pending
Appln. Serial No.	Filing Date	Status Patented/Pending/Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following registered attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. I also appoint the following registered attorney(s) to represent me before all competent International Authorities in connection with any and all international applications filed by me with an appropriate receiving office claiming priority to the U.S. application. I also appoint the following registered attorney(s) to make or receive payment on my behalf in connection with the filing of such international applications.

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Inventor's  
Signature:



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